

Motion No. _____

INSTRUCTIONS

1. Ensure that motions are legible, clear and concise.
2. Notice of Motion must be signed by the Mover and the Seconder
3. Amendments to Notice of Motion must be made on separate Notice of Motion.
4. Notices of Motion must be returned to Executive Director once voting is complete.

Moved by:

Matthew Yap

Seconded by:

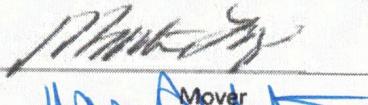
Mark Aitken

THAT amendments to the Rules of the Law Society AL-1, AL-2, and AL-3 as attached, be confirmed by the Society.

Dated at Yellowknife

on this 28th day of October

, 20 20


Matthew Yap
Mover

Mark Aitken
Seconder

**REGULATIONS REGISTER
RECISTRE DES RÈGLEMENTS**

Registered in the
Regulations Register

October 27, 2020

Under registration
number R-126-2020

Filed By *MM*

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registre des règlements

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R-126-2020

Déposé par *MM*

**Registrar of Regulations
registraire des règlements**

LEGAL PROFESSION ACT

**RULES OF THE LAW SOCIETY
OF THE NORTHWEST TERRITORIES, amendment**

The Executive of the Law Society of the Northwest Territories, under section 8 of the *Legal Profession Act* and every enabling power, orders that the *Rules of the Law Society of the Northwest Territories, amendment* established by regulation numbered R-005-2014, is amended by these regulations.

1. Schedule A is amended by

- (a) striking out "1250" in the Amount column in item 1, paragraph (d) and substituting "1230";
- (b) striking out "1270" in the Amount column in item 2, paragraph (e) and substituting "1230"; and
- (c) striking out "1270" in the Amount column in item 4, paragraph (c) and substituting "1230".


Mike C. Reddy
President



LEGAL PROFESSION ACT

RULES OF THE LAW SOCIETY OF THE NORTHWEST TERRITORIES, amendment

The Executive of the Law Society of the Northwest Territories, under section 8 of the *Legal Profession Act* and every enabling power, orders that the *Rules of the Law Society of the Northwest Territories, amendment* established by regulation numbered R-005-2014, is amended by these regulations.

1. The definition "insurance levy" in subrule 1(1) is amended by striking out "subrule 119(2)" and substituting "subrule 90(2)".
2. Subrule 8(1) is amended by striking out "Form 1.1" and substituting "Form 1.0".
3. Subrule 9(1) is amended by
 - (a) striking out "Form 1.2" in paragraph (a) and substituting "Form 1.1"; and
 - (b) striking out "Form 1.3" in paragraph (b) and substituting "Form 1.2".
4. Subrule 11(1) is amended by striking out "Form 1.2" and substituting "Form 1.1".
5. Rule 18.1 is amended by striking out "Form 1.0" and substituting "Form 1.3".
6. (1) Subrule 40(1) is repealed and the following is substituted:
 40. (1) An applicant for admission under paragraph 18(1)(b) of the Act may apply for membership as an active member or as an inactive member, and shall submit to the Secretary
 - (a) an Application for Admission as Member in Form 2.1;
 - (b) two letters of good character from members in good standing of a provincial or territorial law society or a comparable body of which the applicant is a member, or from judges of a provincial, territorial or superior court of the jurisdiction of the law society or body of which the applicant is a member;
 - (c) a certificate from each provincial or territorial law society or comparable body of which the applicant is a member dated not earlier than 45 days before the receipt of the application by the Secretary, stating
 - (i) the standing of the applicant,
 - (ii) the period of time during which the applicant has been listed as an active member of the society or body,
 - (iii) whether disciplinary proceedings are pending against the applicant, and
 - (iv) the nature and disposition of any disciplinary action that has been taken against the applicant;
 - (d) payment of the insurance levy, or if the applicant is exempt under subrule 90(4), proof that he or she
 - (i) is covered by errors and omissions insurance referred to in paragraph 90(4)(a), or
 - (ii) is exempt under paragraph 90(4)(b);
 - (e) payment of any assurance fund levy; and
 - (f) payment of the application, admission and annual fees set out in Schedule A.
 - (2) Subrule 40(4) is repealed.
 7. Paragraph 41(1)(d) is amended by striking out "Articles of Clerkship in Form 2.6" and substituting "Articling Agreement in Form 2.6".
 8. Subrule 44(1) is amended
 - (a) in paragraph (a), by striking out "Assignment of Articles of Clerkship in Form 2.7" and substituting "Assignment of Articles in Form 2.7"; and

- (b) in paragraph (b), by striking out "Temporary Assignment of Articles of Clerkship in Form 2.8" and substituting "Temporary Assignment of Articles in Form 2.8".

9. Rule 49 is repealed and the following is substituted:

49. A student-at-law may apply for membership as an active member or inactive member at the completion of his or her articles, and shall, in addition to any other requirements for membership, submit to the Secretary

- (a) an Application for Admission as Member by Student-at-Law in Form 2.9;
- (b) except where service under articles has been waived under subsection 16(2) of the Act,
 - (i) an Declaration of Principal in Form 2.10 signed by the principal under whom the student-at-law has served his or her articles, and
 - (ii) an Declaration of Student-at-Law in Form 2.11 signed by the student-at-law;
- (c) payment of the insurance levy, or if the applicant is exempt under subrule 90(4), proof that he or she
 - (i) is covered by errors and omissions insurance referred to in paragraph 90(4)(a),
 - (ii) is exempt under paragraph 90(4)(b), or
 - (iii) has submitted an undertaking under paragraph 90(4)(c);
- (d) payment of the insurance levy, or if the applicant is exempt under subrule 90(4), proof that he or she
 - (i) is covered by errors and omissions insurance referred to in paragraph 90(4)(a), or
 - (ii) is exempt under paragraph 90(4)(b);
- (e) payment of any assurance fund levy; and
- (f) payment of the application, admission and annual fees set out in Schedule A.

10. (1) Subrule 51(2) is repealed and the following is substituted:

- (2) An applicant under subrule (1) shall submit to the Secretary
 - (a) an Application for Restricted Appearance Certificate in Form 2.12;
 - (b) two letters of good character from members in good standing of a provincial or territorial law society or comparable body of which the applicant is a member or from judges of a provincial, territorial or superior court of the jurisdiction of the law society or body of which the applicant is a member;
 - (c) a certificate from each provincial or territorial law society or comparable body of which the applicant is a member dated not earlier than 45 days before the receipt of the application by the Secretary, stating
 - (i) the standing of the applicant,
 - (ii) the period of time during which the applicant has been listed as an active member of the society or body,
 - (iii) whether disciplinary proceedings are pending against the applicant, and
 - (iv) the nature and disposition of any disciplinary action that has been taken against the applicant;
 - (d) payment of the insurance levy, or if the applicant is exempt under subrule 90(4), proof that he or she is
 - (i) covered by errors and omissions insurance referred to in paragraph 90(4)(a), or
 - (ii) exempt under paragraph 90(4)(b);
 - (e) payment of any assurance fund levy;
 - (f) payment of the application and admission fees set out in Schedule A; and
 - (g) if required by the Executive, proof that the applicant has passed such bar admission examinations as may be established under rule 39.

- (2) Paragraph 51(4)(b) is amended by striking out "under subrule (5)" and substituting "under subrule (3)".

(3) Paragraph 51(5)(d) is repealed and the following is substituted:

- (d) payment of the insurance levy, or if the member is exempt under subrule 90(4), proof that he or she is
 - (i) covered by errors and omissions insurance as required by paragraph (2)(e), or
 - (ii) exempt under paragraph 90(4)(b).

11. Subrule 52(2) is repealed and the following is substituted:

- (2) An applicant for admission as a Canadian legal advisor shall submit to the Secretary
 - (a) an Application for Admission as Canadian Legal Advisor in Form 2.13;
 - (b) two letters of good character from reputable persons;
 - (c) a certificate from the *Chambre des notaires du Québec*, dated not earlier than 45 days before the receipt of the application by the Secretary, stating
 - (i) the standing of the applicant,
 - (ii) the period of time during which the applicant has been listed as a member of the *Chambre*,
 - (iii) whether disciplinary proceedings are pending against the applicant, and
 - (iv) the nature and disposition of any disciplinary action that has been taken against the applicant;
 - (d) payment of the insurance levy, or if the applicant is exempt under subrule 90(4), proof that he or she
 - (i) is covered by errors and omissions insurance referred to in paragraph 90(4)(a), or
 - (ii) is exempt under paragraph 90(4)(b);
 - (e) payment of any assurance fund levy; and
 - (f) payment of the application, admission and annual fees set out in Schedule A.

12. Subrule 57(2) is amended by

(a) striking out that portion preceding paragraph (a) and substituting the following:

- (2) A member applying under subrule (1) shall, not less than 14 days before the member plans to change his or her status, submit to the Secretary

(b) repealing paragraph (c) and substituting the following:

- (c) where the member is applying to change his or her status from that of an inactive member to an active member,
 - (i) payment of the insurance levy, or if the member is exempt under subrule 90(4), proof that he or she
 - (A) is covered by errors and omissions insurance referred to in paragraph 90(4)(a), or
 - (B) is exempt under paragraph 90(4)(b),
 - (ii) payment of any assurance fund levy, and
 - (iii) payment of the fee for a change of status set out in paragraph (f) of item 1 in Schedule A; and
- (d) where the member is becoming an active member,
 - (i) a statement saying that he or she is joining a law firm that already has a responsible lawyer,
 - (ii) an Application and Lawyer's Undertaking in Form 2.3, modified to reflect the responsible lawyer's application and undertaking,
 - (iii) payment of the insurance levy, or if the member is exempt under subrule 90(4), proof that he or she is
 - (A) covered by errors and omissions insurance referred to in paragraph 90(4)(a), or
 - (B) exempt under paragraph 90(4)(b),
 - (iv) payment of any assurance fund levy, and
 - (v) payment of the fee for a change of status set out in paragraph (f) of item 1 in Schedule A, if applicable.

13. Subrule 59(1) is repealed and the following is substituted:

59. (1) A member may renew his or her membership by submitting to the Secretary
- (a) an Application for Renewal in Form 2.23;
 - (b) a continuing professional development report and plan in a form approved by the Executive;
 - (c) payment of the insurance levy, or if the applicant is exempt under subrule 90(4), proof that he or she is
 - (i) covered by errors and omissions insurance referred to in paragraph 90(4)(a), or
 - (ii) exempt under paragraph 90(4)(b);
 - (d) payment of any assurance fund levy; and
 - (e) payment of the applicable annual fee set out in Schedule A.

14. Rule 61.1 is repealed.

15. (1) The heading preceding rule 62 is repealed and the following is substituted:

CESSATION OF PRACTICE

(2) Subrule 62(1) is repealed and the following is substituted:

62. (1) An active member shall submit to the Executive a cessation of practice plan if he or she intends to cease or ceases
(a) the practice of law in the Northwest Territories; or
(b) to be an active member.

(3) Subrule 62(4) is amended by

- (a) adding "and" after the semicolon in paragraph (a);
- (b) striking out "; and" in paragraph (b) and substituting a period;
- (c) repealing paragraph (c).

(4) Subrule 62(5) is repealed and the following is substituted:

(5) On the written application of a member, the Executive may extend the time required for submitting a plan under subrule (1) or a written report under subrule (4) or waive the requirement for either.

16. Rule 64 is repealed and the following is substituted:

64. A member shall, at least 14 days before any change in contact information last provided to the Society under these rules, notify the Secretary of the new information.

17. Rule 82 is repealed and the following is substituted:

82. (1) A member suspended under section 48.1 of the Act or under these rules for reasons other than discipline, shall be reinstated on payment of the reinstatement fee set out in Schedule A, and where the suspension was made

- (a) under section 48.1 of the Act or subrule 101(3) or (4) for failure to file a Law Firm Self-Report or an Accountant's Report, on the member filing the required form with the Secretary;
- (b) under section 48.1 of the Act or subrule 103(4) for failure to give evidence, answer inquiries or produce or make available records or other property;
- (c) under rule 60, on the member filing an Application for Renewal in Form 2.23 and paying the fees and levies payable to the Society at the time of reinstatement;
- (f) under paragraph 90(11)(a) or (c), on payment of the insurance levy payable to the Society at the time of reinstatement;
- (e) under paragraph 90(11)(b), on the member certifying in writing the facts entitling the member to the exemption;
- (f) under paragraph 90(11)(d), on the member providing
 - (i) the notifications required by subrule 91(1), and
 - (ii) proof of payment to the Society of the insurance levy; or
- (g) under subrule 103(7), on compliance with the order made under subrule 103(6);

(2) Where a member is suspended for more than two years under section 48.1 of the Act or under these rules for reasons other than discipline, the Executive may require the member to pass those bar admission examinations established under rule 39.

18. Subrule 101(10) is amended by striking out "Law Firm Self-Report and".

19. Subsection 107(5) is amended by

- (a) by striking out "no later than" in that portion of subrule 107(5) preceding paragraph (a) and substituting "the later of"; and
- (b) by striking out "or" in paragraph (a) and substituting "and".

- 20. Paragraph 118(1)(c) is repealed.**
- 21. Paragraph 123(2)(a) is amended by striking out "rule 98" and substituting "rule 104".**
- 22. Rule 138 is amended by repealing the definition "reciprocating governing body".**
- 23. Subrules 140(1) and 141(1) are each amended by striking out "reciprocating".**
- 24. That portion of subrule 141(2) preceding paragraph (a) is amended by striking out "rule 119" and substituting "subrule 90(4)".**
- 25. Item 3 in Schedule A is amended by striking out paragraphs (a) and (b) and substituting**

(a)	Application	51(2)(f)	205
(b)	Admission	51(2)(f)	430

- 26. Schedule B is amended to the extent set out in the Appendix to these regulations.**



Mike C. Reddy
President

APPENDIX

- 1. Forms 1.0 to 1.3 are repealed and the following is substituted:**

SCHEDULE B



FORM 1.0

NOTICE OF ELECTION

(Subrule 8(1))

TAKE NOTICE THAT an election of members to the Executive will be held on _____, 20_____, under the *Legal Profession Act* and the *Rules of the Law Society of the Northwest Territories*.

Dated at _____ on _____, 20_____

Secretary

INSTRUCTIONS TO VOTE

(Paragraph 9(1)(a) and subrule 11(1))

INSTRUCTIONS TO VOTE

For purposes of voting in an election of members to the Executive Committee, a member shall:

- (a) mark "X" opposite the name of each candidate on the ballot in Form 1.3 for whom the member desires to vote;
- (b) place the marked ballot in the accompanying envelope marked "Ballot"; and
- (c) place the envelope marked "Ballot" in an envelope addressed to the Secretary of the Law Society and mail or deliver the return envelope so that it will be at the registered office of the Law Society before 11 am on _____, _____, 20 _____.

NOTE: Any mark other than "X" renders the ballot invalid. A member shall not vote for more candidates than the number of Executive members to be elected.

(name of candidate)

(name of candidate)

(name of candidate)

(name of candidate)

Instructions:

You may vote for not more than _____ candidates. Mark an "X" opposite the name of each candidate for whom you desire to vote.

Motion No. _____

INSTRUCTIONS

1. Ensure that motions are legible, clear and concise.
2. Notice of Motion must be signed by the Mover and the Seconder
3. Amendments to Notice of Motion must be made on separate Notice of Motion.
4. Notices of Motion must be returned to Executive Director once voting is complete.

Moved by: _____

Seconded by: _____

Dated at _____ on _____, 20____

Mover

Seconder

2. Form 2.1 is repealed and the following form is substituted:



FORM 2.1

APPLICATION FOR
ADMISSION AS MEMBER
(Paragraph 40(1)(a))

TO: The Secretary
Law Society of the Northwest Territories

I, _____, of _____ apply for admission as an active inactive
(full name)

member of the Law Society of the Northwest Territories.

1. My contact address is:

City/Town: _____ Territory/Province: _____ Postal Code: _____
Telephone: _____ Fax: _____
Email: _____

For active members, your name and contact information will be published in the member directory of the website of the Law Society of the Northwest Territories. This information cannot be withheld (see s. 14 *Legal Profession Act* and rules 34-38).

2. My date of birth is:

_____ (YYYY-MM-DD)

3. I received a law degree from _____ on _____
(name of university) (YYYY-MM-DD)

4. (1) I am or have been a member of the following law societies, or comparable bodies, for the following periods of time:

_____ from _____ to _____
_____ from _____ to _____
_____ from _____ to _____

(2) I have been actively engaged in practising law in the following jurisdictions for the following periods of time:

_____ from _____ to _____
_____ from _____ to _____
_____ from _____ to _____

(3) No disciplinary proceedings are pending or have been taken against me as a member of the societies or bodies listed in

N/A

[check if not applicable]

(4) The results of any disciplinary proceedings taken against me were:

N/A

[check if not applicable]

(5) No claims for lawyer's professional liability insurance are pending or have been made against me as a member of the societies or bodies listed in subsection (1), other than:

N/A

(6) The results of any claims for lawyer's professional liability insurance made against me were:

N/A

5. I am presently practising law with

(name)

(address)

(type of practice)

6. If I am admitted to the Law Society of the
Northwest Territories, I will be practising law with

(name of firm/employer)

(address)

(type of practice)

7. (1) *Instructions: Please respond to the following statements by marking YES or NO:*

- | | | |
|--|------------------------------|-----------------------------|
| (a) I have since attaining 18 years of age been convicted of an offence under the <i>Criminal Code</i> , the <i>Controlled Drugs and Substances Act</i> , the <i>Cannabis Act</i> , the <i>Income Tax Act</i> , the <i>Income Tax Act (Canada)</i> , the <i>Bankruptcy and Insolvency Act</i> , the <i>Excise Tax Act</i> , legislation of any province or territory respecting securities or income tax or any legislation similar to any of the foregoing in any other jurisdiction. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| (b) I have been adjudged a bankrupt in a legal proceeding. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| (c) I have changed my name. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| (d) I have had a civil judgment made against me relating to fraud. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| (e) I am a judgment debtor. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| (f) I have been found guilty in a disciplinary action instituted against me as a member of a professional organization. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| (g) I have been held in civil contempt. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

(2) I have attached full particulars in respect of each affirmative response to the statements set out in paragraphs (a) to (g).

8. Annexed to this application are:

- (a) two letters of good character from members in good standing of a provincial or territorial law society or a comparable body of which the applicant is a member, or from judges of a provincial, territorial or superior court of the jurisdiction of the law society or body of which the applicant is a member;
- (b) a certificate from each provincial or territorial law society or comparable body of which I am a member dated not earlier than 45 days before the presentation of the application, stating
 - (i) my standing,
 - (ii) the period of time during which I have been listed as an active member of the society or body,
 - (iii) whether disciplinary proceedings are pending against me,
 - (iv) the nature and disposition of any disciplinary action that has been taken against me;
- (c) if applicable, the insurance levy or proof of coverage or my Insurance Exemption Certificate and Undertaking attached;
- (d) if applicable, payment of the assurance fund levy;
- (e) payment of admission and annual fees as set out in Schedule A.

9. I certify that

- (a) I will not be in private practice;
- (b) I will be in private practice and
 - I have applied to be a responsible lawyer,
 - I am joining a firm that has a responsible lawyer designated,
 - I am not maintaining any books of account, including trust accounts, in the Northwest Territories. My books of account are maintained in (*Jurisdiction*) _____.

10. I certify that the information I have provided in or annexed to this application is correct.
11. I undertake with the Law Society of the Northwest Territories that I will, during my enrolment, well and faithfully keep and perform all my obligations as a barrister and solicitor and as a member of the Society and abide by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories* and the requirements of the Law Society.
12. I authorize the Law Society of the Northwest Territories to make enquiries of any person, other law societies, any government, any official or body, including the police or academic authority, with regard to any of the statements in this application or in any document provided in connection with this application. I authorize any law society of which I am or ever have been a member or any other persons or organizations enquired of, under this authorization, to provide all information requested.
13. I consent to receiving emails from the Law Society of the Northwest Territories apprising members and other interested parties of relevant information such as, but not limited to, key dates, meetings, events, general community notices and professional development opportunities. I understand that I may withdraw my consent at any time by clicking the "unsubscribe" link at the bottom of the emails. I understand that if I unsubscribe from the emails I assume full and sole responsibility for regularly visiting the website of the Society for regulatory and other Notices as well as general information relevant to my membership in the Society.

Dated at _____ on _____, 20____

(signature of applicant)

The personal information collected in this form will be used by the Law Society of the Northwest Territories for one or more purposes contemplated by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories*, the *Code of Professional Conduct*, or a resolution of the Executive, and will be accessible to all departments of the Law Society and where applicable the Alberta Lawyers Insurance Association. The information may be used or disclosed by the Society, now or in the future, for regulatory purposes, including Society investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about the collection, use or disclosure of this information, please contact the Executive Director of the Law Society at (867) 873-3828.

**INSURANCE EXEMPTION
CERTIFICATE AND UNDERTAKING**
(Form 2.1)

TO: The Executive
Law Society of the Northwest Territories

I, _____ CERTIFY THAT

1. I am applying for membership in the Law Society of the Northwest Territories.
2. I am employed by, and my professional services are provided exclusively to:
- a government agency; or
 - an employer who does not practice law.
3. I do not render or will not be rendering legal services of any kind to the public in the Northwest Territories with the possible exception of: providing *pro bono* professional services to the public through the Law Line Program or a similar program approved by the Law Society of the Northwest Territories.

- OR -

1. I am applying for membership in the Law Society of the Northwest Territories.
2. I do not ordinarily reside or carry out my principal practice of law in the Northwest Territories.
3. I am covered by errors and omissions insurance which provides indemnification to a minimum of \$1,000,000.00 per occurrence and \$2,000,000.00 aggregate and which covers my practice of the law in the Northwest Territories, which insurance is carried through the following insurer or Law Society:
- _____
3. I will provide further information, upon request, respecting my insurance coverage.

I UNDERTAKE to notify the Law Society immediately if there is a change in my status such that the foregoing statements are no longer applicable.

Dated at _____ on _____, 20____

(signature)

- 3. Forms 2.2, 2.3 and 2.4 are repealed.**
- 4. Forms 2.5 to 2.13 are repealed and the following forms are substituted:**



FORM 2.5

APPLICATION FOR
ADMISSION AS STUDENT-AT-LAW
(Paragraph 41(1)(a))

TO: The Secretary

Law Society of the Northwest Territories

I, _____, of _____
(full name)

apply for admission to the Law Society of the Northwest Territories as a student-at-law.

1. My contact address is:

City/Town: _____ Territory/Province: _____ Postal Code: _____

Telephone: _____ Fax: _____

Email: _____

For students-at-law, your name and contact information will be published in the member's directory of the website of the Law Society of the Northwest Territories. This information cannot be withheld (see s. 14 *Legal Profession Act* and rules 34-38).

2. My date of birth is:

_____ (YYYY-MM-DD)

3. I received a common law degree from _____ on _____
(name of university) (YYYY-MM-DD)

4. (1) I am or have been a member of the following law societies, or comparable bodies, for the following periods of time:

from _____ to _____
from _____ to _____
from _____ to _____

(2) No disciplinary proceedings are pending or have been taken against me as a member of the societies or bodies listed in subsection (1) except:

N/A

[check if not applicable]

(3) The results of any disciplinary proceedings taken against me were:

N/A

[check if not applicable]

(4) No claims for lawyer's professional liability insurance are pending or have been made against me as a member of the societies or bodies listed in subsection (1), other than:

N/A

[check if not applicable]

(5) The results of any claims for lawyer's professional liability insurance made against me were:

N/A

[check if not applicable]

5. (1) *Instructions: Please respond to the following statements by marking YES or NO:*

- | | | |
|--|------------------------------|-----------------------------|
| (a) I have since attaining 18 years of age been convicted of an offence under the <i>Criminal Code</i> , the <i>Controlled Drugs and Substances Act</i> , the <i>Cannabis Act</i> , the <i>Income Tax Act</i> , the <i>Income Tax Act (Canada)</i> , the <i>Bankruptcy and Insolvency Act</i> , the <i>Excise Tax Act</i> , legislation of any province or territory respecting securities or income tax or any legislation similar to any of the foregoing in any other jurisdiction. | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| (b) I have been adjudged a bankrupt in a legal proceeding. | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| (c) I have changed my name. | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| (d) I have had a civil judgment made against me relating to fraud. | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| (e) I am a judgment debtor. | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| (f) I have been found guilty in a disciplinary action instituted against me as a member of a professional organization. | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| (g) I have been held in civil contempt. | <input type="checkbox"/> YES | <input type="checkbox"/> NO |

(2) I have attached full particulars in respect of each affirmative response to the statements set out in paragraphs (a) to (g).

6. Annexed to this application are (see subrule 41(1)):

- (a) two letters of good character from reputable persons;
- (b) proof from the proper authority of graduation from a law school approved by the Executive;
- (c) Articling Agreement in Form 2.6 duly signed, with two additional copies;
- (d) an education plan in a form approved by the Executive; and
- (e) payment of the application and admission fees set out in Schedule A.

7. I certify that the information I have provided in or annexed to this application is correct.
8. I undertake with the Law Society of the Northwest Territories that I will, at all times during my term as a student-at-law, well and faithfully keep and perform all my obligations as a student-at-law and abide by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories* and the requirements of the Law Society.
9. I authorize the Law Society of the Northwest Territories to make enquiries of any person, other law societies, any government, any official or body, including the police or academic authority, with regard to any of the statements in this application or in any document provided in connection with this application. I authorize any law society of which I am or ever have been a member or any other persons or organizations enquired of, under this authorization, to provide all information requested.
10. I consent to receiving emails from the Law Society of the Northwest Territories apprising members and other interested parties of relevant information such as, but not limited to, key dates, meetings, events, general community notices and professional development opportunities. I understand that I may withdraw my consent at any time by clicking the "unsubscribe" link at the bottom of the emails. I understand that if I unsubscribe from the emails I assume full and sole responsibility for regularly visiting the website of the Society for regulatory and other Notices as well as general information relevant to my membership in the Society.

Dated at _____ on _____, 20____

(Signature of Applicant)

The personal information collected in this form will be used by the Law Society of the Northwest Territories for one or more purposes contemplated by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories*, the *Code of Professional Conduct*, or a resolution of the Executive, and will be accessible to all departments of the Law Society and where applicable the Alberta Lawyers Insurance Association. The information may be used or disclosed by the Society, now or in the future, for regulatory purposes, including Society investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about the collection, use or disclosure of this information, please contact the Executive Director of the Law Society at (867) 873-3828.

THIS ARTICLING AGREEMENT made this _____ day of _____, 20____,

BETWEEN:

_____ of _____ in the Northwest Territories,
Barrister and Solicitor (the "Solicitor")

- AND -

_____ of _____ in the Northwest Territories,
(the "Student-at-Law")

The Solicitor and Student-at-Law agree as follows:

1. The Student-at-Law binds himself or herself to the Solicitor as a Student-at-Law for the term of one year commencing on the day these articles commence (the "term").
2. The Student-at-Law will, at all times during the term,
 - (a) faithfully and conscientiously serve the Solicitor as the Solicitor's student-at-law;
 - (b) readily obey the reasonable directions of the Solicitor;
 - (c) not absent himself or herself from the service of the Solicitor without the Solicitor's consent, but will conduct himself or herself with diligence, honesty and propriety;
 - (d) keep in strict confidence the affairs of the office of the Solicitor and its clients; and
 - (e) take proper care of and account for all property of the Solicitor, the office of the Solicitor and any of its clients that may be entrusted to the Student-at-Law.
3. The Solicitor will, for the term,
 - (a) accept the Student-at-Law as his or her student-at-law;
 - (b) use all reasonable means to advise and instruct the Student-at-Law in the profession of a barrister and solicitor; and
 - (c) at the expiration of the term, assist the Student-at-Law at the request and expense of the Student-at-Law to be admitted as a barrister and solicitor in the Northwest Territories, provided that the Student-at-Law has faithfully and diligently served his or her articles and has passed any examinations required of him or her and properly qualified himself or herself to be admitted to the practice of law in the Northwest Territories.
4. The Student-at-Law will, as part of his or her service under these articles:
 - (a) successfully complete a bar admission course and bar admission examinations as are required by the Executive of the Law Society; and
 - (b) successfully complete any further bar admission examinations as are established by the Executive of the Law Society.
5. Subject to the approval of the Executive of the Law Society, these articles commence on: _____ of
_____, 20_____, or on a later date fixed by the Executive.

Dated at _____ on _____, 20_____, _____

(Signature of Student-at-Law)

(Signature of Solicitor)

THIS ASSIGNMENT made this _____ day of _____, 20 ____.

BETWEEN:

 _____ of _____ in the Northwest Territories,
 Barrister and Solicitor (the "Assignor")

- AND -

 _____ of _____ in the Northwest Territories,
 (the "Student-at-Law")

- AND -

 _____ of _____ in the Northwest Territories,
 Barrister and Solicitor (the "New Solicitor")

WHEREAS by an Articling Agreement dated the _____ day of _____, 20 ____, between the Student-at-Law and the Assignor, the Student-at-Law was bound as student-at-law to the Assignor in the profession of a barrister and solicitor in the Northwest Territories for a term of one year from the day the articles commenced (the "term");

AND WHEREAS it has been agreed that the Student-at-Law shall serve the unexpired remainder of the term with the New Solicitor and the Assignor has agreed, with the consent of the Student-at-Law, to assign the articles to the New Solicitor;

The parties agree as follows:

1. The Assignor assigns the articles to the New Solicitor to hold for the remainder of the term.
2. The New Solicitor covenants with the Student-at-Law that
 - (a) he or she will take the Student-at-Law as his or her student-at-law in the profession of a barrister and solicitor in the Northwest Territories for the remainder of the term; and
 - (b) he or she will perform all the covenants in the Articling Agreement as if the New Solicitor were named in the Articling Agreement instead of the Assignor.
3. The Student-at-Law covenants with the New Solicitor that
 - (a) he or she will faithfully serve the New Solicitor as the New Solicitor's student-at-law in the profession of a barrister and solicitor of the Northwest Territories for the residue of the term; and
 - (b) he or she will perform the covenants in the Articling Agreement as if the New Solicitor were named in the Articling Agreement instead of the Assignor.

Dated at _____ on _____, 20 _____.

(Signature of Assignor)

(Signature of Student-at-Law)

(Signature of New Solicitor)



FORM 2.8

TEMPORARY ASSIGNMENT OF
ARTICLES
(Subrule 44(1))

THIS ASSIGNMENT made this _____ day of _____, 20____,

BETWEEN:

_____ of _____ in the Northwest Territories,
Barrister and Solicitor (the "Assignor")

- AND -

_____ of _____ in the Northwest Territories,
(the "Student-at-Law")

- AND -

_____ of _____ in the Northwest Territories,
Barrister and Solicitor (the "Temporary Principal")

WHEREAS by an Articling Agreement dated the _____ day of _____ 20____, between the Student-at-Law and the Assignor, the Student-at-Law was bound as student-at-law to the Assignor in the profession of a barrister and solicitor in the Northwest Territories for a term of one year from the day the articles commenced (the "term");

AND WHEREAS it has been agreed that the Student-at-Law shall serve with the Temporary Principal and the Assignor has agreed, with the consent of the Student-at-Law, to assign the Articling Agreement to the Temporary Principal for the term of the temporary assignment set out in item 1 below;

The parties agree as follows:

1. The Assignor assigns the Articling Agreement to the Temporary Principal to hold for a fixed period. The temporary assignment shall commence on this _____ day of _____, 20____ and conclude on the _____ day of _____, 20____. Upon the conclusion of the temporary assignment, the Articling Agreement shall automatically and without further application revert to the Assignor.
2. The Temporary Principal covenants with the Student-at-Law that
 - (a) he or she will take the Student-at-Law as his or her student-at-law in the profession of a barrister and solicitor in the Northwest Territories for the remainder of the term; and
 - (b) he or she will perform all the covenants in the Articling Agreement as if the Temporary Principal were named in the Articling Agreement instead of the Assignor.
3. The Student-at-Law covenants with the Temporary Principal that
 - (a) he or she will faithfully serve the Temporary Principal as the Temporary Principal's student-at-law in the profession of a barrister and solicitor of the Northwest Territories for the remainder of the term; and
 - (b) he or she will perform the covenants in the Articling Agreement as if the Temporary Principal were named in the Articling Agreement instead of the Assignor.

Dated at _____ on _____, 20____

(Signature of Assignor)

(Signature of Student-at-Law)

(Signature of Temporary Principal)

TO: The Secretary
 Law Society of the Northwest Territories

I, _____, of _____ apply for admission as a member of the
 (full name)
 Law Society of the Northwest Territories.

1. My contact address is:

City/Town:	Territory/Province:	Postal Code:
Telephone:	Fax:	
Email: _____		

For active members, your name and contact information will be published in the member directory of the website of the Law Society of the Northwest Territories. This information cannot be withheld (see s. 14 *Legal Profession Act* and rules 34-38).

2. My date of birth is:

(YYYY-MM-DD)

3. I was admitted to the Law Society of _____ as a student-at-law on _____
 day of _____, 20 _____. _____

4. Annexed to this application are:

- (a) if applicable, my declaration respecting service under my Articling Agreement;
- (b) if applicable, the declaration of my principal (or principals) respecting service under my Articling Agreement;
- (c) proof that I have successfully completed the bar admission course as required by the Executive Committee of the Law
- (d) the insurance levy or my Insurance Exemption Certificate and Undertaking ;
- (e) the assurance fund levy, if applicable; and
- (f) the prescribed application, admission and annual fees.

5. I certify that

- (a) I will not be in private practice;
- (b) I will be in private practice and
 - I have applied to be a responsible lawyer,
 - I am joining a firm that has a responsible lawyer designated,
 - I am not maintaining any books of account, including trust accounts, in the Northwest Territories. My books of account are maintained in (*jurisdiction*) _____.

6. I certify that the information I have provided in or annexed to this application is correct.
7. I undertake with the Law Society of the Northwest Territories that I will, during my enrolment, well and faithfully keep and perform all my obligations as a barrister and solicitor and as a member of the Society and abide by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories* and the requirements of the Law Society.
8. I authorize the Law Society of the Northwest Territories to make enquiries of any person, other law societies, any government, any official or body, including the police or academic authority, with regard to any of the statements in this application or in any document provided in connection with this application. I authorize any law society of which I am or ever have been a member or any other persons or organizations enquired of, under this authorization, to provide all information requested.
9. I consent to receiving emails from the Law Society of the Northwest Territories apprising members and other interested parties of relevant information such as, but not limited to, key dates, meetings, events, general community notices and professional development opportunities. I understand that I may withdraw my consent at any time by clicking the "unsubscribe" link at the bottom of the emails. I understand that if I unsubscribe from the emails I assume full and sole responsibility for regularly visiting the website of the Society for regulatory and other Notices as well as general information relevant to my membership in

Dated at _____ on _____, 20____

(signature of applicant)

The personal information collected in this form will be used by the Law Society of the Northwest Territories for one or more purposes contemplated by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories*, the *Code of Professional Conduct*, or a resolution of the Executive, and will be accessible to all departments of the Law Society and where applicable the Alberta Lawyers Insurance Association. The information may be used or disclosed by the Society, now or in the future, for regulatory purposes, including Society investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about the collection, use or disclosure of this information, please contact the Executive Director of the Law Society at (867) 873-3828.

DECLARATION OF PRINCIPAL
(Subparagraph 49(b)(i))

I, _____, a member of the Law Society of the Northwest Territories carrying on the practice of the profession of a barrister and solicitor at _____, in the Northwest Territories, declare that:

1. _____ (the "Student-at-Law") has well and faithfully served me as my student-at-law in the general practice of the profession of a barrister and solicitor in the Northwest Territories under an Articling Agreement from _____, _____, 20____ to _____, _____, 20____ at the office where I carry on my practice;

2. The Student-at-Law has been diligent and honest and conducted himself or herself with propriety throughout the whole term of his or her service and has not been engaged or concerned in any other profession, business or employment, other than:

N/A

[check if not applicable]

3. The Student-at-Law has not at any time during the term of the Articling Agreement been absent from service to me without my permission, other than:

N/A

[check if not applicable]

4. The Student-at-Law did not during the term of the Articling Agreement carry on any of my professional business other than at my office and under my personal supervision, or the supervision of an associate of mine duly qualified as a barrister and solicitor, other than:

N/A

[check if not applicable]

5. The Student-at-Law is in my opinion a fit and proper person to be admitted as a member of the Law Society of the Northwest Territories.

Dated at _____ on _____, 20_____

(signature of Principal)

DECLARATION OF STUDENT AT LAW

(Subparagraph 49(b)(ii))

I, _____, of _____, in the Northwest Territories,
a student-at-law, declare that:

1. I have well and faithfully served _____ to the best of my ability
as his or her student-at-law in the profession of a barrister and solicitor in the Northwest Territories under Articling
Agreement from _____, 20__ to _____, 20__ at the office where I carry
on my practice;
2. During the term of my Articling Agreement, I have not engaged in any other profession or employment other than

N/A

[check if not applicable]

3. I have not during the

Dated at _____ on _____, 20____

(signature of student-at-law)

APPLICATION FOR
 RESTRICTED APPEARANCE CERTIFICATE
(Paragraph 51(2)(a))

TO: The Secretary

Law Society of the Northwest Territories

I, _____, of _____ apply for permission to appear or to act as an active
 (full name)

member of the Law Society of the Northwest Territories on a single matter or for a number of closely-related matters over the period of time in respect of which I am applying.

1. My contact address is:

City/Town:	Territory/Province:	Postal Code:
Telephone:		Fax:
Email:		

For restricted appearance certificate holders, the information above will be published in the member directory of the website of the Law Society of the Northwest Territories. This information cannot be withheld (see s. 14 *Legal Profession Act* and rules 34-38).

2. My date of birth is:

(YYYY-MM-DD)

3. I received a common law degree from

on

(name of university)

(YYYY-MM-DD)

4. The nature of the cause or matter on which I will be appearing or acting is:

(Please provide complete details of the matter (i.e., Defendant, Plaintiff, Supreme Court File Number, etc.))

5. (1) I am or have been a member of the following law societies, or comparable bodies, for the following periods of time:

from _____	to _____
from _____	to _____
from _____	to _____

(2) No disciplinary proceedings are pending or have been taken against me as a member of the societies or bodies listed in subsection (1) except:

N/A

[check if not applicable]

(3) The results of any disciplinary proceedings taken against me were:

N/A

[check if not applicable]

(4) The results of any disciplinary proceedings taken against me were:

N/A

[check if not applicable]

(5) No claims for lawyer's professional liability insurance are pending or have been made against me as a member of the societies or bodies listed in subsection (1), other than:

N/A

[check if not applicable]

(6) The results of any claims for lawyer's professional liability insurance made against me were:

N/A

[check if not applicable]

6. I am presently practising law with the following firm or employer, with address:

7. (1) *Instructions: Please respond to the following statements by marking YES or NO:*

- | | | |
|--|------------------------------|-----------------------------|
| (a) I have since attaining 18 years of age been convicted of an offence under the <i>Criminal Code</i> , the <i>Controlled Drugs and Substances Act</i> , the <i>Cannabis Act</i> , the <i>Income Tax Act</i> , the <i>Income Tax Act (Canada)</i> , the <i>Bankruptcy and Insolvency Act</i> , the <i>Excise Tax Act</i> , legislation of any province or territory respecting securities or income tax or any legislation similar to any of the foregoing in any other jurisdiction. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| (b) I have been adjudged a bankrupt in a legal proceeding. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| (c) I have changed my name. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| (d) I have had a civil judgment made against me relating to fraud. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| (e) I am a judgment debtor. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| (f) I have been found guilty in a disciplinary action instituted against me as a member of a professional | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| (g) I have been held in civil contempt. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

(2) I have attached full particulars in respect of each affirmative response to the statements set out in paragraphs (a) to (g).

8. Annexed to this application are

- (a) two letters of good character as referred to in paragraph 51(2)(b) of the rules;
- (b) a certificate from each provincial or territorial law society of which I am a member stating
 - (i) my standing,
 - (ii) the period of time during which I have been listed as an active member of the society or body,
 - (iii) whether disciplinary proceedings are pending against me, and
 - (iv) the nature and disposition of any disciplinary action that has been taken against me;
- (c) the insurance levy or my Insurance Exemption Certificate and Undertaking attached;
- (d) the assurance fund levy, if applicable; and
- (e) the prescribed application and admission fees.

9. I certify that

- (a) I will not be in private practice;
- (b) I will be in private practice and
 - I have applied to be a responsible lawyer,
 - I am joining a firm that has a responsible lawyer designated,
 - I am not maintaining any books of account, including trust accounts, in the Northwest Territories. My books of account are maintained in (*jurisdiction*) _____.

10. I certify that the information I have provided in or annexed to this application is correct.
11. I undertake with the Law Society of the Northwest Territories that I will, during my enrolment, well and faithfully keep and perform all my obligations as a barrister and solicitor and as a member of the Society and abide by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories* and the requirements of the Law Society.
12. I authorize the Law Society of the Northwest Territories to make enquiries of any person, other law societies, any government, any official or body, including the police or academic authority, with regard to any of the statements in this application or in any document provided in connection with this application. I authorize any law society of which I am or ever have been a member or any other persons or organizations enquired of, under this authorization, to provide all information requested.
13. I consent to receiving emails from the Law Society of the Northwest Territories apprising members and other interested parties of relevant information such as, but not limited to, key dates, meetings, events, general community notices and professional development opportunities. I understand that I may withdraw my consent at any time by clicking the "unsubscribe" link at the bottom of the emails. I understand that if I unsubscribe from the emails I assume full and sole responsibility for regularly visiting the website of the Society for regulatory and other Notices as well as general information relevant to my membership in the Society.

Dated at _____ on _____, 20____

(signature of applicant)

The personal information collected in this form will be used by the Law Society of the Northwest Territories for one or more purposes contemplated by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories*, the *Code of Professional Conduct*, or a resolution of the Executive, and will be accessible to all departments of the Law Society and where applicable the Alberta Lawyers Insurance Association. The information may be used or disclosed by the Society, now or in the future, for regulatory purposes, including Society investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about the collection, use or disclosure of this information, please contact the Executive Director of the Law Society at (867) 873-3828.

**INSURANCE EXEMPTION
CERTIFICATE AND UNDERTAKING**
(Form 2.12)

TO: The Executive
Law Society of the Northwest Territories

I, _____ CERTIFY THAT
(print or type name)

1. I am an active member or am applying for membership in the Law Society of the Northwest Territories.
2. I am employed by, and my professional services are provided exclusively to:
- a government agency; or
 - an employer who does not practice law.
3. I do not render or will not be rendering legal services of any kind to the public in the Northwest Territories with the possible exception of: providing *pro bono* professional services to the public through the Law Line Program or a similar program approved by the Law Society of the Northwest Territories.

- OR -

1. I am an active member or am applying for membership in the Law Society of the Northwest Territories.
2. I do not ordinarily reside or carry out my principal practice of law in the Northwest Territories.
3. I am covered by errors and omissions insurance which provides indemnification to a minimum of \$1,000,000.00 per occurrence and \$2,000,000.00 aggregate and which covers my practice of the law in the Northwest Territories, which insurance is carried through the following insurer or Law Society:

4. I will provide further information, upon request, respecting my insurance coverage.

I UNDERTAKE to notify the Law Society immediately if there is a change in my status such that the foregoing statements are no longer applicable.

Dated at _____ on _____, 20_____

(signature)

APPLICATION FOR
 ADMISSION AS CANADIAN LEGAL ADVISOR
(Paragraph 52(2)(a))

TO: The Secretary

Law Society of the Northwest Territories

I, _____, of _____ apply for admission to the
(full name)

the Law Society of the Northwest Territories as a Canadian Legal Advisor who is an active inactive member.

1. My contact address is:

City/Town: _____ Territory/Province: _____ Postal Code: _____

Telephone: _____ Fax: _____

Email: _____

For active members, the information above will be published in the member directory of the website of the Law Society of the Northwest Territories. This information cannot be withheld (see s. 14 *Legal Profession Act* and rules 34-38).

2. My date of birth is: _____
(YYYY-MM-DD)

3. I received a civil law degree from _____ on _____
(name of university) _____ *(YYYY-MM-DD)*

4. (1) I am or have been a member of the following law societies or comparable bodies, for the following periods of time:

from _____	to _____
from _____	to _____
from _____	to _____

(2) No disciplinary proceedings are pending or have been taken against me as a member of the societies or bodies listed in subsection (1) except:

<input type="checkbox"/> N/A <i>[check if not applicable]</i>	_____

(3) The results of any disciplinary proceedings taken against me were:

<input type="checkbox"/> N/A <i>[check if not applicable]</i>	_____

(4) No claims for lawyer's professional liability insurance are pending or have been made against me as a member of the societies or bodies listed in subsection (1), other than:

N/A

[check if not applicable]

(5) The results of any claims for lawyer's professional liability insurance made against me were:

N/A

[check if not applicable]

5. (1) *Instructions: Please respond to the following statements by marking YES or NO:*

(a) I have since attaining 18 years of age been convicted of an offence under the *Criminal Code*, the *Controlled Drugs and Substances Act*, the *Cannabis Act*, the *Income Tax Act*, the *Income Tax Act (Canada)*, the *Bankruptcy and Insolvency Act*, the *Excise Tax Act*, legislation of any province or territory respecting securities or income tax or any legislation similar to any of the foregoing in any other jurisdiction.

YES

NO

(b) I have been adjudged a bankrupt in a legal proceeding.

YES

NO

(c) I have changed my name.

YES

NO

(d) I have had a civil judgment made against me relating to fraud.

YES

NO

(e) I am a judgment debtor.

YES

NO

(f) I have been found guilty in a disciplinary action instituted against me as a member of a professional organization.

YES

NO

(g) I have been held in civil contempt.

YES

NO

(2) I have attached full particulars in respect of each affirmative response to the statements set out in paragraphs (a) to (g).

6. Annexed to this application are (see subrule 52(2)):

- (a) two letters of good character from reputable persons;
- (b) a certificate from the *Barreau du Québec* or *Chambre des notaires du Québec* as required by paragraph 52(2)(c);
- (c) proof of bachelor's degree in civil law in Canada or equivalent foreign degree with certificate from *Barreau* or *Chambre*;
- (d) payment of insurance levy or proof applicant is covered by errors and omissions insurance or is exempt;
- (e) payment of any assurance fund levy; and
- (f) payment of the application and admission fees set out in Schedule A.

7. I certify that

- (a) I will not be in private practice;
- (b) I will be in private practice and
- I have applied to be a responsible lawyer,
- I am joining a firm that has a responsible lawyer designated,
- I am not maintaining any books of account, including trust accounts, in the Northwest Territories. My books of account are maintained in (*jurisdiction*) _____.

8. I certify that the information I have provided in or annexed to this application is correct.

9. I undertake with the Law Society of the Northwest Territories that I will, during my enrolment, well and faithfully keep and perform all my obligations as a Canadian Legal Advisor and abide by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories* and the requirements of the Law Society.

10. I authorize the Law Society of the Northwest Territories to make enquiries of any person, other law societies, any government, any official or body, including the police or academic authority, with regard to any of the statements in this application or in any document provided in connection with this application. I authorize any law society of which I am or ever have been a member or

11. I consent to receiving emails from the Law Society of the Northwest Territories apprising members and other interested parties of relevant information such as, but not limited to, key dates, meetings, events, general community notices and professional development opportunities. I understand that I may withdraw my consent at any time by clicking the "unsubscribe" link at the bottom of the emails. I understand that if I unsubscribe from the emails I assume full and sole responsibility for regularly visiting the website of the Society for regulatory and other Notices as well as general information relevant to my membership in the Society.

Dated at _____ on _____, 20____

(signature of applicant)

The personal information collected in this form will be used by the Law Society of the Northwest Territories for one or more purposes contemplated by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories*, the *Code of Professional Conduct*, or a resolution of the Executive, and will be accessible to all departments of the Law Society and where applicable the Alberta Lawyers Insurance Association. The information may be used or disclosed by the Society, now or in the future, for regulatory purposes, including Society investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about the collection, use or disclosure of this information, please contact the Executive Director of the Law Society at (867) 873-3828.

5. Forms 2.20 and 2.21 are repealed the following forms are substituted:

**APPLICATION FOR
CHANGE OF STATUS FROM ACTIVE TO INACTIVE MEMBER**
(Paragraph 57(2)(a))

TO: The Secretary
 Law Society of the Northwest Territories

I, _____, of _____ apply to change my status from an active member to
 an
 (full name)
 inactive member of the Law Society of the Northwest Territories.

1. My contact address is:

City/Town:	Territory/Province:	Postal Code:
Telephone:	Fax:	
Email:		

For active members who become inactive, your name may be published in the member's directory of the website of the Law Society of the Northwest Territories. This information cannot be withheld (see s. 14 Legal Profession Act and rules 34-38).

2. Check the box(es) that apply to you:

- I intend to cease the practice of law in the Northwest Territories; or
- I intend to cease to be an active member of the Society.

3. You must submit, in accordance with subrule 62(2) of the *Rules of the Law Society of the Northwest Territories*, a cessation of practice plan to the Executive not less than 14 days before the anticipated cessation of practice. Your plan must include (see rule 62)

- (a) the date when the anticipated cessation is to occur;
- (b) the intended disposition of all of the following that are within the control of the member and relate to his or her practice in the Northwest Territories:
 - (i) open and closed files,
 - (ii) wills, titles and other important or valuable documents,
 - (iii) non-documentary valuables, and
 - (iv) trust money, trust accounts and books of account.

4. You must, not more than 90 days after the cessation occurs, submit to the Executive a written report that includes a confirmation

- (a) of the disposition of those items referred to in paragraph 62(2)(b) of the *Rules of the Law Society of the Northwest Territories*; and
- (b) that all balances have been remitted to former clients or other persons on whose behalf they were held, or have, at the request of the former clients, been transferred to another active member with written instructions as to the conditions attaching to the balances.

5. (1) Since the later of my Application for Admission in Form 2.1 or my last Application for Renewal in Form 2.23, no disciplinary proceedings are pending or have been taken against me as a member of the societies or bodies listed in subsection (1), other than:

(2) The results of any disciplinary proceedings taken against me were:

(3) Since the later of my Application for Admission in Form 2.1 or my last Application for Renewal in Form 2.23, no claims for lawyer's professional liability insurance are pending or have been made against me as a member of the societies or bodies listed in subsection (1), other than:

(4) The results of any claims for lawyer's professional liability insurance listed in subsection (5) were:

6. If I am the responsible lawyer, I undertake to comply with rule 120.

7. I certify that the information I have provided in or annexed to this application is correct.

8. I undertake with the Law Society of the Northwest Territories that I will, during my enrolment, well and faithfully keep and perform all my obligations as a barrister and solicitor and as a member of the Society and abide by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories* and the requirements of the Law Society.

9. I authorize the Law Society of the Northwest Territories to make enquiries of any person, other law societies, any government, any official or body, including the police or academic authority, with regard to any of the statements in this application or in any document provided in connection with this application. I authorize any law society of which I am or ever have been a member or any other persons or organizations enquired of, under this authorization, to provide all information requested.

10. I consent to receiving emails from the Law Society of the Northwest Territories apprising members and other interested parties of relevant information such as, but not limited to, key dates, meetings, events, general community notices and professional development opportunities. I understand that I may withdraw my consent at any time by clicking the "unsubscribe" link at the bottom of the emails. I understand that if I unsubscribe from the emails I assume full and sole responsibility for regularly visiting the website of the Society for regulatory and other Notices as well as general information relevant to my membership in the Society.

Dated at _____ on _____, 20_____

(*signature of applicant*)

The personal information collected in this form will be used by the Law Society of the Northwest Territories for one or more purposes contemplated by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories*, the *Code of Professional Conduct*, or a resolution of the Executive, and will be accessible to all departments of the Law Society and where applicable the Alberta Lawyers Insurance Association. The information may be used or disclosed by the Society, now or in the future, for regulatory purposes, including Society investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about the collection, use or disclosure of this information, please contact the Executive Director of the Law Society at (867) 873-3828.

APPLICATION FOR
 CHANGE OF STATUS FROM INACTIVE TO ACTIVE MEMBER
(Paragraph 57(2)(a))

TO: The Secretary
 Law Society of the Northwest Territories

I, _____, of _____ apply change my status from an inactive member to an
 (full name)
 active member of the Law Society of the Northwest Territories.

1. My contact address is:

City/Town:	Territory/Province:	Postal Code:
Telephone:		
Email:		

For active members, your name and contact information will be published in the member's directory of the website of the Law Society of the Northwest Territories. This information cannot be withheld (see s. 14 Legal Profession Act and rules 34-38).

2. I have been an inactive member since _____

3. I will be principally practising law in:

(name of jurisdiction)

4. As an active member, I will be practising law with the following firm or employer or under the following firm name and address:

City/Town:	Territory/Province:	Postal Code:
Telephone:	Fax:	
Email:		

5. (1) I am or have been a member of the following law societies, or comparable bodies, for the following periods of time:

_____	from	to
_____	from	to
_____	from	to

(2) Since the later of my Application for Admission in Form 2.1 or my last Application for Renewal in Form 2.23, I have been actively engaged in practising law in the following jurisdictions for the following periods of time:

_____	from	to
_____	from	to
_____	from	to

(3) Since the later of my Application for Admission in Form 2.1 or my last Application for Renewal in Form 2.23, no disciplinary proceedings are pending or have been taken against me as a member of the societies or bodies listed in subsection (1), other than:

(4) The results of any disciplinary proceedings taken against me were:

(5) Since the later of my Application for Admission in Form 2.1 or my last Application for Renewal in Form 2.23, no claims for lawyer's professional liability insurance are pending or have been made against me as a member of the societies or bodies listed in

(6) The results of any claims for lawyer's professional liability insurance listed in subsection (5) were:

6. Annexed to this application are

- (a) a certificate from each provincial or territorial law society of which I am a member stating
 - (i) my standing,
 - (ii) the period of time during which I have been listed as an active member of the society or body,
 - (iii) whether disciplinary proceedings are pending against me, and
 - (iv) the nature and disposition of any disciplinary action that has been taken against me;
- (b) the insurance levy or my Insurance Exemption Certificate and Undertaking attached;
- (c) the assurance fund levy, if applicable; and
- (d) the prescribed application and admission fees.

5. I certify that

- (a) I will not be in private practice;
- (b) I will be in private practice and
 - I have applied to be a responsible lawyer,
 - I am joining a firm that has a responsible lawyer designated,
 - I am not maintaining any books of account, including trust accounts, in the Northwest Territories. My books of account are maintained in (*jurisdiction*) _____.

8. I certify that the information I have provided in or annexed to this application is correct.
9. I undertake with the Law Society of the Northwest Territories that I will, during my enrolment, well and faithfully keep and perform all my obligations as a barrister and solicitor and as a member of the Society and abide by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories* and the requirements of the Law Society.
10. I authorize the Law Society of the Northwest Territories to make enquiries of any person, other law societies, any government, any official or body, including the police or academic authority, with regard to any of the statements in this application or in any document provided in connection with this application. I authorize any law society of which I am or ever have been a member or any other persons or organizations enquired of, under this authorization, to provide all information requested.
11. I consent to receiving emails from the Law Society of the Northwest Territories apprising members and other interested parties of relevant information such as, but not limited to, key dates, meetings, events, general community notices and professional development opportunities. I understand that I may withdraw my consent at any time by clicking the "unsubscribe" link at the bottom of the emails. I understand that if I unsubscribe from the emails I assume full and sole responsibility for regularly visiting the website of the Society for regulatory and other Notices as well as general information relevant to my membership in the Society.

Dated at _____ on _____, 20_____

(signature of applicant)

The personal information collected in this form will be used by the Law Society of the Northwest Territories for one or more purposes contemplated by the *Legal Profession Act*, the *Rules of the Law Society of the Northwest Territories*, the *Code of Professional Conduct*, or a resolution of the Executive, and will be accessible to all departments of the Law Society and where applicable the Alberta Lawyers Insurance Association. The information may be used or disclosed by the Society, now or in the future, for regulatory purposes, including Society investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about the collection, use or disclosure of this information, please contact the Executive Director of the Law Society at (867) 873-3828.

**INSURANCE EXEMPTION
CERTIFICATE AND UNDERTAKING**
(Form 2.21)

TO: The Executive
Law Society of the Northwest Territories

I, _____ CERTIFY THAT

1. I am an inactive member and am applying to change my status from inactive to active in the Law Society of the Northwest Territories.
2. I am employed by, and my professional services are provided exclusively to:
- a government agency; or
 - an employer who does not practice law.
3. I do not render or will not be rendering legal services of any kind to the public in the Northwest Territories with the possible exception of: providing *pro bono* professional services to the public through the Law Line Program or a similar program approved by the Law Society of the Northwest Territories.

- OR -

1. I am an inactive member and am applying to change my status from inactive to active in the Law Society of the Northwest Territories.
2. I do not ordinarily reside or carry out my principal practice of law in the Northwest Territories.
3. I am covered by errors and omissions insurance which provides indemnification to a minimum of \$1,000,000.00 per occurrence and \$2,000,000.00 aggregate and which covers my practice of the law in the Northwest Territories, which insurance is carried through the following insurer or Law Society:
3. I will provide further information, upon request, respecting my insurance coverage.

I UNDERTAKE to notify the Law Society immediately if there is a change in my status such that the foregoing statements are no longer applicable.

Dated at _____ on _____, 20____

(signature)

6. Form 5.1 is repealed and the following form is substituted:

DECLARATION OF UNDISBURSABLE TRUST MONEY

(Subrule 134.1(1))

NOTE: All fields on this form must be completed. One form must be submitted for each trust ledger account.

A. MEMBER OR FIRM INFORMATION

Member or Firm Name: _____

Member or Firm Address: _____

Member or Firm _____

Telephone Number: _____

Responsible Lawyer: _____

Firm File Number: _____

B. CLIENT OR CLAIMANT INFORMATION

File Name: _____

Name and last known address of person who is entitled to funds:

NOTE: If more than one person is entitled to funds, list names, amounts each is entitled to, and answer all applicable questions for each person individually.

If person is a corporation, current status of corporation with Corporate Registry:

Length of time money held for client:

Attempts to contact client in past two years (state dates, methods and addresses involved):

(a) _____

(b) _____

(c) _____

Has the Public Trustee been contacted (if the client is a natural person only)? YES NO

If yes, state the results of the contact:

C. FILE INFORMATION

Name of Action:

Name of Client:

Last Known Address:

Last Known Telephone
Number:

Dollar Amount Enclosed
and Date of Receipt of
Money:

Information useful in confirming validity of client's claim to these funds, including the nature of the trust and the circumstances in which it arose:

Are these funds subject to trust conditions?
(If yes give complete details)

YES NO

D. CERTIFICATION

I, _____ of _____, Northwest Territories, certify that the foregoing information is complete and correct to the best of my knowledge.

Dated at _____ on _____, 20____

(signature of member)

7. Forms 10.3 and 10.4 are repealed the following forms are substituted:

**APPLICATION FOR ANNUAL PERMIT FOR
LAW PROFESSIONAL CORPORATION**
(Subrule 156(1))

- Territorial Law Corporation
 Extra-Territorial Law Corporation

Registration Number: _____

I _____, Law Professional Corporation,
 ("Applicant")

having its registered office at _____, having
 previously registered under section 6 of the *Professional Corporations Act* and rule 152 or 153 of the *Rules of the Law Society of the Northwest Territories*, as a law professional corporation, apply for an Annual Permit.

1. This application is accompanied by the following:

- (a) a copy of the endorsed Form 10.1 referred to in subrule 152(3) or the endorsed Form 10.2 referred to in subrule 153(3), as applicable;
- (b) a copy of the Applicant's securities register;
- (c) a copy of the Applicant's current articles or bylaws;
- (d) a current list of directors;
- (e) the fee set out in item 7(e) of Schedule A.

(The Applicant may be required to provide additional information as the Secretary requires.)

2. This permit ceases to be valid if

- (a) it is revoked under rule 160;
- (b) a practising lawyer who is a voting shareholder in the law professional corporation dies or otherwise ceases to be a practising lawyer, and no provision is made in the articles of the law professional corporation for the immediate and automatic disposition of that lawyer's shares in that case;
- (c) another law professional corporation that is a voting shareholder in the law professional corporation ceases to be registered as a corporation under the *Business Corporations Act* or ceases to hold a valid annual permit and no provision is made in the articles of the law professional corporation for the immediate and automatic disposition of the other professional corporation's shares in that case; or
- (d) it is surrendered by the law professional corporation to the Secretary.

3. This permit is valid for one year from date of issue and may be renewed annually by submitting an Application for Renewal of Annual Permit for Law Professional Corporation in Form 10.4.

I, _____, being an active member of the Law Society of the Northwest Territories and a director of the Applicant, certify to the Law Society of the Northwest Territories that the information and particulars contained in this application are true and complete.

Signature

Date

The Application as presented is approved by the Society under section 6 of the *Professional Corporations Act* and the *Rules of the Law Society of the Northwest Territories*. The Applicant is registered as a law professional corporation.

Secretary

Date

Terms and Conditions—See attached sheets if applicable. Applicant must endorse Terms and Conditions for them to be valid.

**APPLICATION FOR RENEWAL OF ANNUAL PERMIT FOR
LAW PROFESSIONAL CORPORATION**
(Paragraph 157(2)(a))

- Territorial Law Corporation
 Extra-Territorial Law Corporation

Registration Number: _____

I _____, Law Professional Corporation,
 ("Applicant")

having its registered office at _____, having
 previously registered under section 6 of the *Professional Corporations Act* and rule 152 or 153 of the *Rules of the Law Society of the Northwest Territories*, as a law professional corporation, apply for an Annual Permit.

1. This application is accompanied by the following:

- (a) a certificate issued by the Registrar of Corporations, dated not earlier than 60 days before the date of the submission to the Secretary,
 - (i) under section 271 of the *Business Corporations Act*, confirming that the Applicant is an existing corporation and up to date in filing its annual returns under that Act, if the Applicant is a territorial law professional corporation, or
 - (ii) under section 293 of the *Business Corporations Act*, confirming that the Applicant is registered and up to date in filing its annual returns under that Act, if the Applicant is an extra-territorial law professional corporation;
- (b) a copy of the Applicant's securities register;
- (c) a copy of the Applicant's current articles or bylaws, if they have changed since last filed with the Secretary;
- (d) a current list of directors;
- (e) the fee set out in item 7(f) of Schedule A.

(The Applicant may be required to provide additional information as the Secretary requires.)

2. This permit ceases to be valid if

- (a) it is revoked under rule 160;
- (b) a practising lawyer who is a voting shareholder in the law professional corporation dies or otherwise ceases to be a practising lawyer, and no provision is made in the articles of the law professional corporation for the immediate and automatic disposition of that lawyer's shares in that case;
- (c) another law professional corporation that is a voting shareholder in the law professional corporation ceases to be registered as a corporation under the *Business Corporations Act* or ceases to hold a valid annual permit and no provision is made in the articles of the law professional corporation for the immediate and automatic disposition of the other professional corporation's shares in that case; or
- (d) it is surrendered by the law professional corporation to the Secretary.

3. This permit expires December 31 of the calendar year it was issued and may be renewed annually by submitting an Application for Renewal of Annual Permit for Law Professional Corporation in Form 10.4.

I, _____, being an active member of the Law Society of the Northwest Territories and a director of the Applicant, certify to the Law Society of the Northwest Territories that the information and particulars contained in this application are true and complete.

Signature

Date

The Application as presented is approved by the Society under section 6 of the *Professional Corporations Act* and the *Rules of the Law Society of the Northwest Territories*. The Applicant is registered as a law professional corporation.

Secretary

Date

Terms and Conditions—See attached sheets if applicable. Applicant must endorse Terms and Conditions for them to be valid.

LEGAL PROFESSION ACT

The Executive of the Law Society of the Northwest Territories, under section 8 of the *Legal Profession Act* and every enabling power, orders that the *Rules of the Law Society of the Northwest Territories*, established by regulation numbered R-005-2014, are amended by these regulations.

- 1. The definitions “lawyer” and “Secretary” in subrule 1(1) are repealed and the following is respectively substituted for each:**

“lawyer” means an advocate or notary in Quebec and a barrister and solicitor in any other province or territory;

“Secretary” includes the Deputy Secretary unless the context requires otherwise;

- 2. Rule 61.1 is repealed.**

- 3. The following is added after rule 63:**

Appointment to the Bench and Reinstatement as Member

63.1 (1) A member who is appointed as a judge of the Supreme Court of Canada, the Federal Court of Canada, the Supreme Court, the Territorial Court or of a superior, district, county, provincial or territorial court of any other province or territory automatically ceases to be a member on such appointment.

(2) A former judge referred to in subrule (1) who becomes a member again may not appear in a court in the Northwest Territories without first obtaining the approval of the Executive.

- 4. Rule 64 is renumbered as subrule 64(1) and the following is added after that renumbered subrule:**

(2) An active member who engages in the private practice of law in the Northwest Territories from a location outside of the Northwest Territories, shall ensure that the Secretary

- (a) has the most current professional address at that location; and
 - (b) is notified of any change of address 14 days before it occurs.

- 5. Subrule 77(3) is repealed and the following is substituted:**

(3) An application to have all or portions of the inquiry held *in camera*

- (a) may be made by the member or student-at-law whose conduct is being inquired into, the complainant or counsel for the Society;
 - (b) may be heard at any time during the inquiry, or before the inquiry on a date set by the Committee of Inquiry or Sole Inquirer; and

- (c) must be in writing and made on notice to the Committee of Inquiry or Sole Inquirer, and
 - (i) where the applicant is the member or student-at-law whose conduct is being inquired into, to the complainant and counsel for the Society,
 - (ii) where the applicant is the complainant, to the member or student-at-law whose conduct is being inquired into and counsel for the Society,
 - (iii) where the applicant is counsel to the Society, to the member or student-at-law whose conduct is being inquired into and the complainant.

- 6. Rule 86 and the preceding heading are repealed.**
- 7. Paragraph (c) of the definition “public body” in subrule 95(1) is repealed and the following is substituted:**
 - (c) a local board or similar body of a municipality incorporated by or under an Act of a province or territory,
- 8. Paragraph 118(b) is amended by striking out “province” and substituting “province or territory”.**
- 9. Subrule 124(2) is repealed and the following is substituted:**
 - (2) Every trust account must be
 - (a) maintained with a financial institution in the name of the law firm; and
 - (b) designated as a trust account.
- 10. Paragraph 131(1)(b) is amended by striking out “paragraph 102(5)(d)” and substituting “paragraph 98(4)(d)”.**
- 11. That portion of subrule 134(3) preceding paragraph (a) is amended by striking out “Subrule 128” and substituting “Rule 128”.**
- 12. Paragraphs 134.1(4)(b) and (c) are each amended by striking out “clients’ trust account” and substituting “law firm’s trust account”.**
- 13. Subrule 134.5(2) is amended by striking out “the lawyer’s firm” and substituting “the lawyer’s law firm”.**
- 14. Subrule 134.8(4) is amended by striking out “subrule (4)” and substituting “subrule (5)”.**
- 15. Subrule 135.1(2) is amended by striking out “a lawyer is retained after this rule comes into force” and substituting “a lawyer has been retained after this rule came into force on January 2, 2019”.**

16. Subrule 136(1) is amended by striking out “Secretary” and substituting “Executive Director”.

17. Subrule 140(3) is amended by striking out “reasonably”.

18. Paragraph 141(2)(b) is repealed and the following is substituted:

- (b) maintains the full mandatory professional liability insurance coverage required in that jurisdiction that
(i) is comparable in coverage and limits to that required of lawyers in the Northwest Territories, and
(ii) extends to the lawyer’s practice in the Territories.

19. (1) That portion of subrule 153(2) preceding paragraph (a) is repealed and the following is substituted:

- (2) A professional corporation that proposes to register as an extra-territorial law professional corporation under section 6 of the *Professional Corporations Act* shall submit to the Secretary

(2) Subrule 153(4) is repealed and the following is substituted:

- (4) The Secretary shall cancel the registration of an extra-territorial law professional corporation that fails to renew its permit within one year after its expiry.

(3) Subrule 153(6) is repealed and the following is substituted:

- (6) The Secretary may require an applicant to provide further information before he or she approves of the application.

20. Subrule 157(8) is repealed and the following is substituted:

- (8) On refusing to renew an annual permit the Secretary shall give the law professional corporation written notice of the refusal with reasons.

21. Rule 161 is repealed and the following is substituted:

161. All advertising for a member who provides legal services to the public through a law professional corporation must indicate that the law professional corporation provides the legal services.

22. Subparagraph 162(3)(c) is repealed and the following is substituted:

- (c) disclose, on request, to any person
(i) the name of a law professional corporation,
(ii) the place of business of a law professional corporation,

- (iii) whether a corporation has a valid annual permit and is a law professional corporation registered with the Society,
- (iv) whether a specified lawyer is an employee or a voting shareholder of a law professional corporation, and
- (v) whether a specified law professional corporation is a voting shareholder of another law professional corporation.